

# Rollover form

## For Super and Pension members

**Important:** This Rollover Form is for investment in Australian Ethical Super and must not be circulated or distributed uncompleted unless accompanied by a copy of the PDS, which contains information relevant to investing in the Super Fund. You can download a current PDS at [australianethical.com.au/super/pds](https://australianethical.com.au/super/pds).

### Send your completed form to:

Australian Ethical Super, Locked Bag 20013,  
Melbourne VIC 3001

Email [advisers@australianethical.com.au](mailto:advisers@australianethical.com.au)  
or login to the member portal at  
[australianethical.com.au/login](https://australianethical.com.au/login) and upload the  
completed forms under the 'Contact Us' tab

### Step 1: Personal details

Title

Mr  Mrs  Ms  Miss  Other, please specify

Full given name(s)

Surname

Date of birth (DD/MM/YYYY)

Phone Number

Mobile

Email

### Residential address (PO Box is NOT acceptable)

Address

Suburb

State

Postcode

Country

## Step 1: Member details (continued)

### Postal address (If different to residential address)

Address

Suburb

State

Postcode

Country

### Tax File Number (TFN)

Tax File Number

Under the Superannuation Industry (Supervision) Act 1993, your superannuation fund is authorised to collect your TFN. It is not compulsory to quote your TFN, nor is it an offence not to do so. However, if you do not quote your TFN, your before-tax contributions and withdrawals will be taxed at a higher rate, we will be unable to accept after-tax contributions from you and it may be more difficult to amalgamate your super benefits.

## Step 2: Super you are transferring from

Name of fund

Address

Suburb

State

Postcode

Member number

USI

ABN

ESA\*

Is this a full transfer?  Yes  No

If no, the amount to be transferred is

\$

\* If you are transferring super from a Self Managed Super Fund (SMSF), Australian Ethical must use SuperStream to roll over your super benefits. This means your SMSF will need an electronic service address (ESA) and Australian business number (ABN). You can get an ESA from an SMSF messaging provider or through your SMSF intermediary/administrator.

## Step 3: Super you are transferring to

Name of fund

Member number

USI

ABN

Phone number

### Investment option

Please note: This rollover will be invested to align with your future contribution strategy, If you wish to update your strategy or change your investment option, please log in to our secure member portal at [australianethical.com.au](https://australianethical.com.au) before completing this rollover.

## Step 4: Declaration and signature

### Does your employer pay into your other super account?

Using this form to transfer your benefits will not change the fund to which your employer contributes your Superannuation Guarantee contributions. If you would like your employer to contribute to your Australian Ethical Super account, speak to them about choice of fund.

### Things to consider when transferring your super

When you transfer your super from another fund, your entitlements under that fund may cease. You need to consider all relevant information before you make a decision to transfer your super. If you ask for information, your super provider must give it to you. Some of the points you may consider are:

- Fees - your transferring fund (old fund) must give you information about any exit fees. If you are not aware of the fees that may apply, you should contact your fund for further information before completing this form. The fees could include administration fees as well as exit fees. Your TO fund may also charge entry or deposit fees on transfer. Differences in fees funds charge can have a significant effect on what you will be have to retire on. For example, a 1 per cent increase in fees may significantly reduce your final benefit.
- Insurance benefits - your transferring fund (old fund) may insure you against death, illness or an accident which leaves you unable to return to work. If you choose to leave your current fund, you may lose any insurance entitlements you have. However, you may be able to transfer your insurance to your Australian Ethical Super account. Please call us for more information about transferring your insurance. For more details on insurance in Australian Ethical Super, please read our Product Disclosure Statement.

### Have you changed your name or are you signing on behalf of another person?

If you have changed your name or are signing on behalf of the applicant, you will need to provide a certified linking document. A linking document is a document that proves a relationship exists between two (or more) names.

Suitable linking documents for the following purposes:

- change of name – marriage certificate, deed poll or change of name certificate from the births, deaths and marriages registration office
- signed on behalf of the applicant – guardianship papers or power of attorney.

### Instruction to your existing fund (the from fund) records of my personal information, and consent to my information being used and/or disclosed to:

I/we hereby request that you transfer the value of the transferring super fund to the Australian Ethical Retail Superannuation fund, a complying superannuation fund. Please provide all information to the trustee, Australian Ethical Superannuation Pty Ltd (ABN 43 079 259 733) and forward payments to: Australian Ethical Super, Locked bag 20013, Melbourne VIC 3001. By signing this section of the form I am making the following statements:

- I declare that I have fully read this form and the information completed is true and correct.
- I am aware I may ask my superannuation provider for information about any fees or charges that may apply, or any other information about the effect this transfer may have on my benefits, and do not require any further information
- I discharge the superannuation provider of my from fund of all further liability in respect of the benefits paid and transferred to my to fund. I request and consent to the transfer of superannuation as described above and authorise the superannuation provider of each fund to give effect to the transfer.

## Signature

I have read, understood and agree to the above declaration.

- ! Please note if you are using an electronic signature, this must be signed using OneSpan, DocuSign, or Adobe Acrobat Sign, and the forms will need to be accompanied by a time-stamped certificate.

Signature

Signatory's full name (please print)

Date (DD/MM/YYYY)

? If you have any questions, please contact Australian Ethical Super on 1800 021 227.